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FAX

TO	NAME	Examiner Patel/TC 3693
	FIRM	U.S. Patent and Trademark Office
	FAX NO.:	(571) 273-8300
	PHONE NO.:	(571) 272-6748

PAGES (INCLUDING COVER): 5

ORIGINAL TO FOLLOW IN MAIL: ☐ Yes ☒ No

FROM NAME: Nancy J. Flint
DIRECT DIAL: (305) 810-2522

MESSAGE	In re Application Of: Davies	Examiner: J. Patel
	Application No.: 09/876,762	Art Unit: 3693
	Filed: June 7, 2001	Docket No.: 72167.000254
	Title: Internet Trading System for Deposits	

In accordance with 37 CFR 1.8, I hereby certify that the attached correspondence entitled:

1. Fee Transmittal Form PTOL-85 plus duplicate.
2. Issue Fee Transmittal plus duplicate.

is being facsimile transmitted to the United States Patent and Trademark Office on June 15, 2007.

Signature:

Typed or Printed Name of Person Signing Certificate: Nancy J. Flint

Registration No., if applicable: 46,704

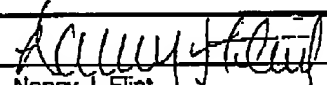
IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT OPERATOR AT 202 • 955 • 1500 .

OPERATOR

DATE: June 15, 2007
CLIENT/MATTER NAME/NO.: 72167/000254

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FEE TRANSMITTAL For FY 2006		<i>Complete If Known</i>																									
MAIL STOP Issue Fee		Application No.	09/876,762																								
		Filing Date	June 7, 2001																								
		First Named Inventor	Davies																								
		Examiner Name	J. Patel																								
		Art Unit	3693																								
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	72167.000254																								
TOTAL AMOUNT OF PAYMENT (\$) 1730.00																											
METHOD OF PAYMENT (check all that apply)																											
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (identify): _____																											
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number 50-0206 Deposit Account Name: Hunton & Williams LLP																											
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)																											
<input checked="" type="checkbox"/> Charge fee(s) indicated below. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee																											
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments.																											
FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)																											
1. BASIC FILING, SEARCH AND EXAMINATION FEES																											
<table border="0" style="width:100%;"> <tr> <th></th> <th colspan="2" style="text-align: center;">FILING FEES</th> <th colspan="2" style="text-align: center;">SEARCH FEES</th> <th colspan="2" style="text-align: center;">EXAMINATION FEES</th> <th></th> </tr> <tr> <th></th> <th colspan="2" style="text-align: center;">Small Entity</th> <th colspan="2" style="text-align: center;">Small Entity</th> <th colspan="2" style="text-align: center;">Small Entity</th> <th></th> </tr> <tr> <th style="text-align: left;">Application Type</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: center;">Fees Paid (\$)</th> </tr> </table>					FILING FEES		SEARCH FEES		EXAMINATION FEES				Small Entity		Small Entity		Small Entity			Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)
	FILING FEES		SEARCH FEES		EXAMINATION FEES																						
	Small Entity		Small Entity		Small Entity																						
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)																				
Utility	300.00	150.00	500.00	250.00	200.00	100.00																					
Design	200.00	100.00	100.00	50.00	130.00	65.00																					
Plant	200.00	100.00	300.00	150.00	160.00	80.00																					
Reissue	300.00	150.00	500.00	250.00	600.00	300.00																					
Provisional	200.00	100.00	0.00	0.00	0.00	0.00																					
2. EXCESS CLAIMS FEES																											
For	Number Present	Highest Number Paid For	Extra	Fees (\$)	Small Entity Fee (\$)	Fees Paid (\$)																					
Total Claims		20	0 x	50.00	25.00																						
Independent Claims		3	0 x	200.00	100.00																						
Multiple Dependent Claim				360.00	180.00																						
3. APPLICATION SIZE FEE (if the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).)																											
Total Sheets	Extra Sheets	No. of Each Additional 50 or Fraction Thereof	Fees (\$)	Small Entity Fee (\$)	Fees Paid (\$)																						
_____ - 100 =	_____ / 50 =	_____ (round up to a whole number) x	250.00	125.00																							
4. OTHER FEE(S)																											
<input type="checkbox"/> Non-English Specification (no small entity discount) <input checked="" type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary) 1700.00																											
<input type="checkbox"/> Surcharge - late filing fee or oath <input type="checkbox"/> Design Issue Fee																											
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet <input type="checkbox"/> Plant Issue Fee																											
<input type="checkbox"/> _____ Month Extension of Time <input type="checkbox"/> Petition to Commissioner																											
<input type="checkbox"/> Submission of Information Disclosure Statement <input type="checkbox"/> Petition to Revive (Unavoidable)																											
<input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition to Revive (Unintentional)																											
<input type="checkbox"/> Request for Oral Hearing <input type="checkbox"/> Petitions Related to Provisional Applications																											
<input type="checkbox"/> Filing Brief in Support of Appeal <input type="checkbox"/> Recording Each Patent Assignment Per Property																											
<input type="checkbox"/> Filing Submission After Final Rejection <input checked="" type="checkbox"/> Other (specify) Ten (10) Copies 30.00																											
SUBMITTED BY																											
Signature	Registration No.		Telephone																								
Typed or Printed Name	Date		(305) 810-2522																								
Nancy J. Flint	June 15, 2007																										

FEE TRANSMITTAL For FY 2006 MAIL STOP Issue Fee		Complete If Known Application No. 09/876,762 Filing Date June 7, 2001 First Named Inventor Davies Examiner Name J. Patel Art Unit 3693 Attorney Docket No. 72167.000254					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		RECEIVED CENTRAL FAX CENTER JUN 15 2007					
Total Amount Of Payment (\$) 1730.00							
METHOD OF PAYMENT (check all that apply)							
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (identify): _____							
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number 50-0206 Deposit Account Name: Hunton & Williams LLP							
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)							
<input checked="" type="checkbox"/> Charge fee(s) indicated below.		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee					
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments.					
FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)							
1. BASIC FILING, SEARCH AND EXAMINATION FEES							
FILING FEES		SEARCH FEES		EXAMINATION FEES			
Application Type	Fee(\$)	Small Entity Fee (\$)	Fee(\$)	Small Entity Fee (\$)	Fee(\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300.00	150.00	500.00	250.00	200.00	100.00	
Design	200.00	100.00	100.00	50.00	130.00	65.00	
Plant	200.00	100.00	300.00	150.00	160.00	80.00	
Reissue	300.00	150.00	500.00	250.00	600.00	300.00	
Provisional	200.00	100.00	0.00	0.00	0.00	0.00	
2. EXCESS CLAIMS FEES							
For	Number Present	Highest Number Paid For	Extra	Fees (\$)	Small Entity Fee (\$)	Fees Paid (\$)	
Total Claims		20	0 x	50.00	25.00		
Independent Claims		3	0 x	200.00	100.00		
Multiple Dependent Claim				360.00	180.00		
Total Excess Claims Fees							
3. APPLICATION SIZE FEE (If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).)							
Total Sheets	Extra Sheets	No. of Each Additional 50 or Fraction Thereof	Fees (\$)	Small Entity Fee (\$)	Fees Paid (\$)		
_____ - 100 =	_____ / 50 =	_____ (round up to a whole number) x	250.00	125.00			
4. OTHER FEE(S)							
<input type="checkbox"/> Non-English Specification (no small entity discount)				<input checked="" type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary) 1700.00			
<input type="checkbox"/> Surcharge - late filing fee or oath				<input type="checkbox"/> Design Issue Fee			
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet				<input type="checkbox"/> Plant Issue Fee			
<input type="checkbox"/> _____ Month Extension of Time				<input type="checkbox"/> Petition to Commissioner			
<input type="checkbox"/> Submission of Information Disclosure Statement				<input type="checkbox"/> Petition to Revive (Unavoidable)			
<input type="checkbox"/> Notice of Appeal				<input type="checkbox"/> Petition to Revive (Unintentional)			
<input type="checkbox"/> Request for Oral Hearing				<input type="checkbox"/> Petitions Related to Provisional Applications			
<input type="checkbox"/> Filing Brief in Support of Appeal				<input type="checkbox"/> Recording Each Patent Assignment Per Property			
<input type="checkbox"/> Filing Submission After Final Rejection				<input checked="" type="checkbox"/> Other (specify) Ten (10) Copies 30.00			
SUBMITTED BY							
Signature				Registration No. 46,704		Telephone (305) 810-2522	
Typed or Printed Name		Nancy J. Flint		Date		June 15, 2007	

PART B - FEE(S) TRANSMITTAL

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JUN 15 2007

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **FAX** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "PER ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Print the Block 1 for any change of address)

21967

7590

(4/12/2007)

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
1900 K STREET, N.W.
SUITE 1200
WASHINGTON, DC 20006-1109

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/876,762

06/07/2001

Anthony Davies

72167.000254

8882

TITLE OF INVENTION: SYSTEM AND METHOD FOR EXECUTING DEPOSIT TRANSACTIONS OVER THE INTERNET

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID (ISSUE FEE)	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	07/02/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS	06/18/2007 TL0222 00000003 500206 09876762			
PATEL, JAGDISH	3693	705-042000	01 FC:1501	1400.00 DA	300.00 DA	01 FC:1501

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page (37 CFR 1.51):
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Hunton & Williams LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for reclamation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Chase Manhattan Bank, N.A.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

New York, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies Ten (10)

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

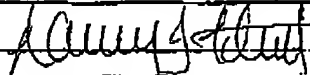
- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0206 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature



Date 06/15/07

Typed or printed name

Nancy J. Flint, Esq.

Registration No. 46,704

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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